

How to determine the masses of the lightest quarks

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Università di Pavia, 31.5.18

Outline

Introduction

QCD

Chiral perturbation theory

How to determine $m_u - m_d$

$\eta \rightarrow 3\pi$ and Q

The $\eta \rightarrow 3\pi$ amplitude

Summary

Work in collaboration with S. Lanz, H. Leutwyler and E. Passemar
PRL 118 (17) 022001 and in progress

Maxwell's theory of electromagnetism

Lagrangian leading to Maxwell equations

$$\mathcal{L}_{\text{Maxwell}} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - A_\mu j^\mu$$

where

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu \quad A_\mu = (\phi, \vec{A}), \quad j_\mu = (\rho, \vec{A})$$

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At the microscopic level, the current is made out of fermions, described by Dirac's theory:

$$\mathcal{L}_{\text{QED}} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - A_\mu \left[\sum_i e_i \bar{\psi}_i \gamma_\mu \psi_i \right] + \sum_i \bar{\psi}_i [i\partial_\mu \gamma^\mu - m_i] \psi_i$$

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QED is a **gauge theory**=theory defined by local invariance

$$D_\mu = \partial_\mu + ieA_\mu \quad \text{the relevant } \textbf{covariant derivative}$$

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Theory of strong interactions: QCD

Strong interactions are also described by a **gauge theory**

the local invariance group is larger than that of QED:

$$\text{QED} : U(1) \longrightarrow \text{QCD} : SU(3)$$

and the covariant derivative changes accordingly

$$D_\mu = \partial_\mu + ieA_\mu \longrightarrow D_\mu = \partial_\mu + igA_\mu^a \lambda_a \quad (a = 1, \dots, 8)$$

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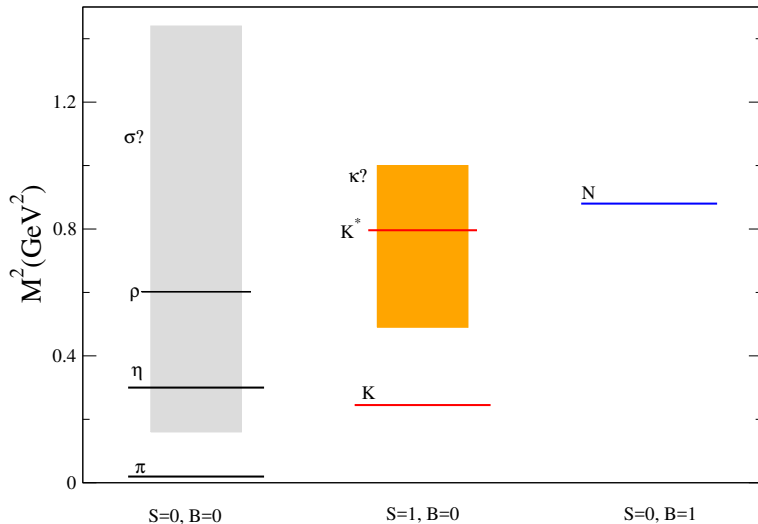
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The behaviour of QED and QCD is very different
Determining the fermion masses m_i in the two theories is a
completely different matter

The QCD spectrum



Quark masses

QCD Lagrangian:

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4}\text{Tr}G_{\mu\nu}G^{\mu\nu} + \sum_i \bar{q}_i(i\not{D} - m_{q_i})q_i + \sum_j \bar{Q}_j(i\not{D} - m_{Q_j})Q_j$$

► In the limit $m_{q_i} \rightarrow 0$ and $m_{Q_j} \rightarrow \infty$: $M_{\text{hadrons}} \propto \Lambda$

► Observe that $m_{q_i} \ll \Lambda$ while $m_{Q_j} \gg \Lambda$ [$\Lambda \sim M_N$]

► Quarks do not propagate:
quark masses are coupling constants! (not observables)

they depend on the renormalization scale μ (like α_s)
for light quarks by convention: $\mu = 2 \text{ GeV}$

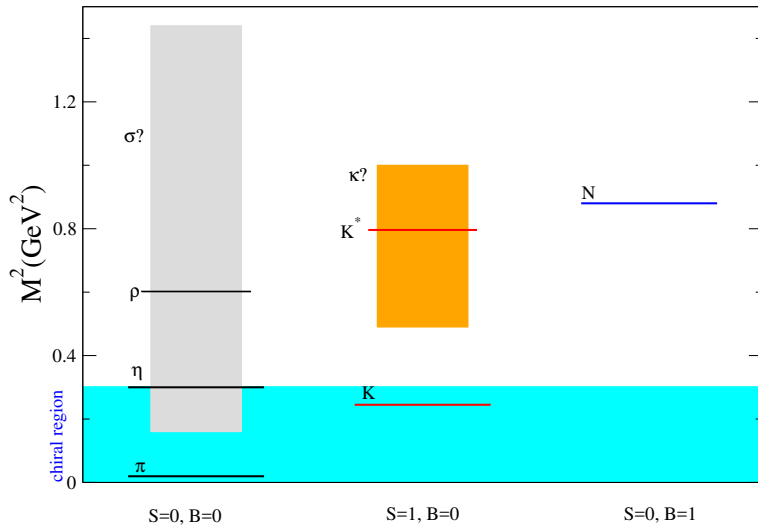
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- ▶ the positions of the low-lying resonances is more difficult to determine and understand
- ▶ they set the limit of validity of the chiral expansion

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- ▶ effective Lagrangian: systematic method to construct this expansion, respecting **symmetry** and all the general principles of quantum field theory
- ▶ The method leads to predictions – even **very sharp** ones

Weinberg (79)

Quantum Chromodynamics in the chiral limit

$$\mathcal{L}_{\text{QCD}}^{(0)} = -\frac{1}{4}\text{Tr}G_{\mu\nu}G^{\mu\nu} + \bar{q}_L i \not{D} q_L + \bar{q}_R i \not{D} q_R \quad q = \begin{pmatrix} u \\ d \\ s \end{pmatrix}$$

Large global symmetry group:

$$SU(3)_L \times SU(3)_R \times U(1)_V \times U(1)_A$$

1. $U(1)_V \Rightarrow$ baryonic number
2. $U(1)_A$ is anomalous
- 3.

$$SU(3)_L \times SU(3)_R \Rightarrow SU(3)_V$$

\Rightarrow Goldstone bosons with the quantum numbers of pseudoscalar mesons will be generated

Quark masses, chiral expansion

In the real world quarks are not massless:

$$\mathcal{L}_{QCD} = \mathcal{L}_{QCD}^{(0)} + \mathcal{L}_m, \quad \mathcal{L}_m := -\bar{q}\mathcal{M}q$$
$$\mathcal{M} = \begin{pmatrix} m_u & & \\ & m_d & \\ & & m_s \end{pmatrix}$$

the mass term \mathcal{L}_m can be considered as a small perturbation \Rightarrow

Expand around $\mathcal{L}_{QCD}^{(0)} \equiv$ Expand in powers of m_q

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Chiral perturbation theory, the low-energy effective theory of QCD, is a simultaneous expansion in powers of momenta and quark masses

Quark mass expansion of meson masses

General quark mass expansion for the P particle:

$$M_P^2 = M_0^2 + \langle P | \bar{q} \mathcal{M} q | P \rangle + O(m_q^2)$$

For the pion $M_0^2 = 0$:

$$M_\pi^2 = -(m_u + m_d) \frac{1}{F_\pi^2} \langle 0 | \bar{q} q | 0 \rangle + O(m_q^2)$$

where we have used a Ward identity:

$$\langle \pi | \bar{q} q | \pi \rangle = -\frac{1}{F_\pi^2} \langle 0 | \bar{q} q | 0 \rangle =: B_0$$

$\langle 0 | \bar{q} q | 0 \rangle$ is an order parameter for the chiral spontaneous symmetry breaking

Gell-Mann, Oakes and Renner (68)

Quark mass expansion of meson masses

Consider the whole pseudoscalar octet:

$$M_\pi^2 = (m_u + m_d)B_0 + O(m_q^2)$$

$$M_{K^+}^2 = (m_u + m_s)B_0 + O(m_q^2)$$

$$M_{K^0}^2 = (m_d + m_s)B_0 + O(m_q^2)$$

$$M_\eta^2 = \frac{1}{3}(m_u + m_d + 4m_s)B_0 + O(m_q^2)$$

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Consequences:

$$(\hat{m} = (m_u + m_d)/2)$$

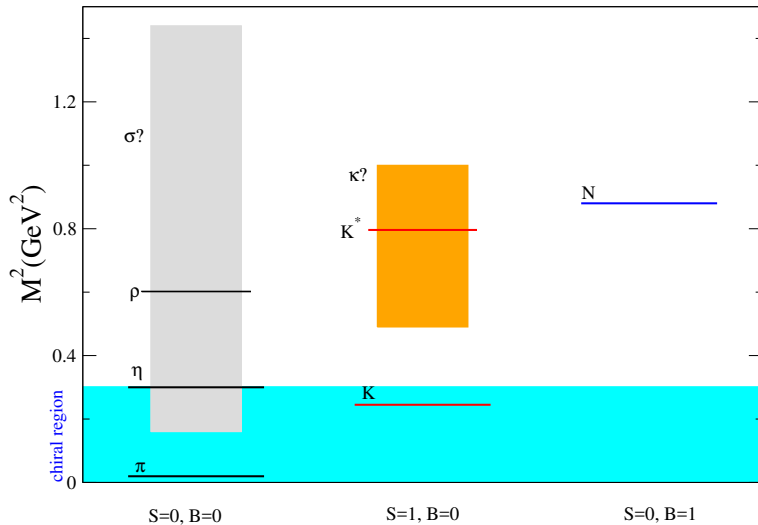
$$M_{K^+}^2/M_\pi^2 = (m_s + \hat{m})/2\hat{m} \quad \Rightarrow m_s/\hat{m} = 25.9$$

$$M_\eta^2/M_\pi^2 = (2m_s + \hat{m})/3\hat{m} \quad \Rightarrow m_s/\hat{m} = 24.3$$

$$3M_\eta^2 = 4M_K^2 - M_\pi^2 \quad \text{Gell-Mann–Okubo (62)}$$

$$(0.899 = 0.960) \text{ GeV}^2$$

Quark mass expansion of meson masses



How to determine quark masses

- From their influence on the spectrum

 χ PT, lattice

- $m_Q \gg \Lambda$

$$M_{\bar{Q}q_i} = m_Q + \mathcal{O}(\Lambda)$$

- $m_q \ll \Lambda$

$$M_{\bar{q}_i q_j} = M_{0ij} + \mathcal{O}(m_{q_i}, m_{q_j}) \quad M_{0ij} = \mathcal{O}(\Lambda)$$

In both cases need to understand the $\mathcal{O}(\Lambda)$ term

- From their influence on any other observable

 χ PT, sum rules

Quark masses are coupling constants

\Rightarrow exploit the sensitivity to them of any observable

[e.g. η decays, spectral functions from τ decays, etc.]

Isospin symmetry

Originally introduced as symmetry between proton and neutron

(Heisenberg 1932)

Symmetry of the QCD Lagrangian if $m_u = m_d$

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Broken by:

$$m_u \neq m_d \quad \text{and} \quad Q_u \neq Q_d$$

strong and electromagnetic interactions

$m_d + m_u$ is easier to get than $m_d - m_u$

$$m_d, m_u \ll \Lambda \Rightarrow \mathcal{L}_m = -m_u \bar{u}u - m_d \bar{d}d = \text{small perturbation}$$

However:

$$\begin{aligned} \mathcal{L}_m &= -\frac{m_d + m_u}{2}(\bar{u}u + \bar{d}d) + (m_d - m_u)\frac{\bar{u}u - \bar{d}d}{2} \\ &= -\hat{m} \underbrace{\bar{q}q}_{\mathcal{O}_{I=0}} + (m_d - m_u) \underbrace{\bar{q}\tau_3 q}_{\mathcal{O}_{I=1}} \end{aligned}$$

selection rules make the effect of $\mathcal{O}_{I=1}$ well hidden

$\Rightarrow \hat{m}$ responsible for the mass of pions

but $(m_d - m_u)$ only contributes at $\mathcal{O}(p^4)$

(a tiny δM_{π^0})

better sensitivity in K masses

but the **em interaction** competes as a source of isospin breaking

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First estimates

Leading-order masses of π and K :

$$M_\pi^2 = B_0(m_u + m_d) \quad M_{K^+}^2 = B_0(m_u + m_s) \quad M_{K^0}^2 = B_0(m_d + m_s)$$

Quark mass ratios:

$$\frac{m_u}{m_d} \simeq \frac{M_{\pi^+}^2 - M_{K^0}^2 + M_{K^+}^2}{M_{\pi^+}^2 + M_{K^0}^2 - M_{K^+}^2} \simeq 0.67$$

$$\frac{m_s}{m_d} \simeq \frac{M_{K^0}^2 + M_{K^+}^2 - M_{\pi^+}^2}{M_{K^0}^2 - M_{K^+}^2 + M_{\pi^+}^2} \simeq 20$$

$$m_{ud} \equiv (m_u + m_d)/2 \simeq 5.4 \text{ MeV}$$

SU(6) relation, Leutwyler (74)

From an analysis of the p - n mass difference:

Gasser & Leutwyler (75)

$$m_u \simeq 4 \text{ MeV} \quad m_d \simeq 6 \text{ MeV} \quad m_s \simeq 135 \text{ MeV}$$

Electromagnetic corrections to the masses

According to Dashen's theorem

Dashen (69)

$$\begin{aligned} M_{\pi^0}^2 &= B_0(m_u + m_d) \\ M_{\pi^+}^2 &= B_0(m_u + m_d) + \Delta_{\text{em}} \\ M_{K^0}^2 &= B_0(m_d + m_s) \\ M_{K^+}^2 &= B_0(m_u + m_s) + \Delta_{\text{em}} \end{aligned}$$

Extracting the quark mass ratios gives

Weinberg (77)

$$\begin{aligned} \frac{m_u}{m_d} &= \frac{M_{K^+}^2 - M_{K^0}^2 + 2M_{\pi^0}^2 - M_{\pi^+}^2}{M_{K^0}^2 - M_{K^+}^2 + M_{\pi^+}^2} = 0.56 \\ \frac{m_s}{m_d} &= \frac{M_{K^0}^2 + M_{K^+}^2 - M_{\pi^+}^2}{M_{K^0}^2 - M_{K^+}^2 + M_{\pi^+}^2} = 20.1 \end{aligned}$$

Higher order chiral corrections

Mass formulae to second order

Gasser-Leutwyler (85)

$$\begin{aligned}\frac{M_K^2}{M_\pi^2} &= \frac{m_s + \hat{m}}{2\hat{m}} \left[1 + \Delta_M + \mathcal{O}(m^2) \right] \\ \frac{M_{K^0}^2 - M_{K^+}^2}{M_K^2 - M_\pi^2} &= \frac{m_d - m_u}{m_s - \hat{m}} \left[1 + \Delta_M + \mathcal{O}(m^2) \right] \\ \Delta_M &= \frac{8(M_K^2 - M_\pi^2)}{F_\pi^2} (2L_8 - L_5) + \chi\text{-logs}\end{aligned}$$

The same $\mathcal{O}(m)$ correction appears in both ratios
 \Rightarrow this double ratio is free from $\mathcal{O}(m)$ corrections

$$Q^2 \equiv \frac{m_s^2 - \hat{m}^2}{m_d^2 - m_u^2} = \frac{M_K^2}{M_\pi^2} \frac{M_K^2 - M_\pi^2}{M_{K^0}^2 - M_{K^+}^2} \left[1 + \mathcal{O}(m^2) \right]$$

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The same $\mathcal{O}(m)$ correction appears in both ratios

\Rightarrow this double ratio is free from $\mathcal{O}(m)$ and em corrections

$$Q_D^2 \equiv \frac{(M_{K^0}^2 + M_{K^+}^2 - M_{\pi^+}^2 + M_{\pi^0}^2)(M_{K^0}^2 + M_{K^+}^2 - M_{\pi^+}^2 - M_{\pi^0}^2)}{4M_{\pi^0}^2(M_{K^0}^2 - M_{K^+}^2 + M_{\pi^+}^2 - M_{\pi^0}^2)} = 24.3$$

Violation of Dashen's theorem

In pure QCD ($\hat{M}_P \equiv M_{P|\alpha_{em}=0}$)

$$\hat{M}_{K^+} = B_0(m_s + m_u) + \mathcal{O}(m_q^2)$$

$$\hat{M}_{K^0} = B_0(m_s + m_d) + \mathcal{O}(m_q^2)$$

$$\Rightarrow \hat{M}_{K^+} - \hat{M}_{K^0} = B_0(m_u - m_d) + \mathcal{O}(m_q^2)$$

Define em contributions to masses

$$M_P^\gamma \equiv M_P - \hat{M}_P, \quad \Delta_P^\gamma \equiv M_P^2 - \hat{M}_P^2$$

Dashen's theorem: $\Delta_{K^+}^\gamma = \Delta_{\pi^+}^\gamma$

and its violation

$$[\Delta_\pi \equiv M_{\pi^+}^2 - M_{\pi^0}^2]$$

$$\Delta_{K^+}^\gamma - \Delta_{K^0}^\gamma - \Delta_{\pi^+}^\gamma + \Delta_{\pi^0}^\gamma = \epsilon \Delta_\pi$$

Estimates of the size of Dashen's theorem violation

χ PT + model-based calculations:

$$\epsilon = \begin{cases} 0.8 & \text{Bijnens-Prades (97)} & Q = 22 \text{ (ENJL model)} \\ 1.0 & \text{Donoghue-Perez (97)} & Q = 21.5 \text{ (VMD)} \\ 1.5 & \text{Anant-Moussallam (04)} & Q = 20.7 \text{ (Sum rules)} \end{cases}$$

Lattice-based calculations

(the value of Q is calculated in χ PT at NLO)

$$\epsilon = \begin{cases} 0.50(8) & \text{Duncan et al. (96)} & Q = 22.9 \\ 0.5(1) & \text{RBC (07)} & Q = 22.9 \\ 0.78(6)(2)(9)(2) & \text{BMW (11)} & Q = 22.1 \\ 0.65(7)(14)(10) & \text{MILC (13)} & Q = 22.6 \\ 0.79(18)(18) & \text{RM123 (13)} & Q = 22.1 \\ 0.73(2)(5)(17) & \text{BMW (16)} & Q = 22.2 \\ 0.73(3)(13)(5) & \text{MILC (16)} & Q = 22.2 \end{cases}$$

Value quoted in FLAG-3: $\epsilon = 0.7(3)$

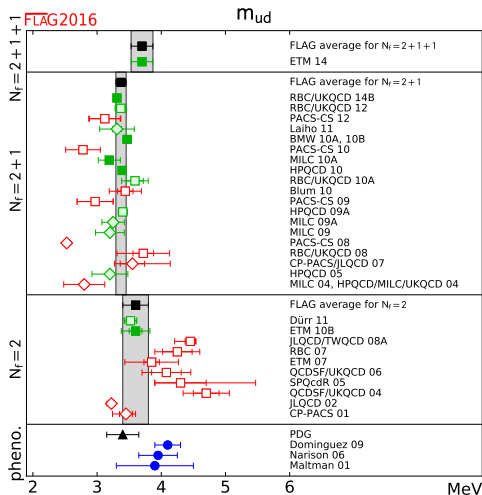
FLAG-3 summary of the quark masses

all masses in MeV

N_F	m_{ud}	m_s	m_s/m_{ud}
2+1+1	3.70(17)	93.9(1.1)	27.30(34)
2+1	3.373(80)	92.0(2.1)	27.43(31)
2	3.6(2)	101(3)	27.3(9)

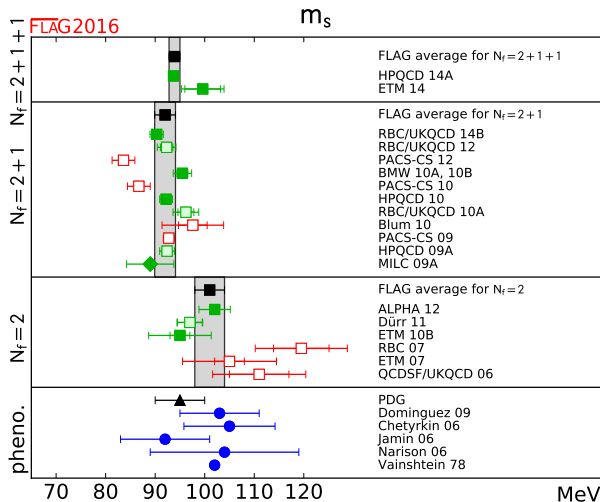
N_F	m_u	m_d	m_u/m_d	R	Q
2+1+1	2.36(24)	5.03(26)	0.470(56)	35.6(5.1)	22.2 (1.6)
2+1	2.16(9)(7)	4.68(14)(7)	0.46(2)(2)	35.0(1.9)(1.8)	22.5(6)(6)
2	2.40(23)	4.80(23)	0.50(4)	40.7(3.7)(2.2)	24.3(1.4)(0.6)

Light quark masses



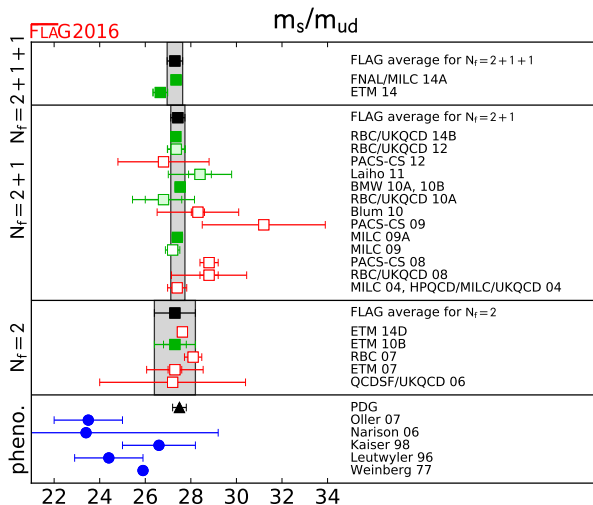
$N_f = 2 + 1$: $m_{ud}^{\overline{\text{MS}}}(2 \text{ GeV}) = 3.37(8) \text{ MeV}$ ($\sim 2.4\%$)
more precise than PDG

Light quark masses



$N_f = 2 + 1:$ $m_s^{\overline{\text{MS}}}(2 \text{ GeV}) = 92.0(2.1) \text{ MeV} \quad (\sim 2.3\%)$
 more precise than PDG

Light quark masses



$N_f = 2 + 1$: $m_s/m_{ud} = 27.43(31)$ ($\sim 1.1\%$)
 more precise than PDG

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$\eta \rightarrow 3\pi$ and χ PT

The decay $\eta \rightarrow 3\pi$ is purely isospin violating:

in an isospin symmetric world it cannot happen

and its mostly due to **strong isospin breaking**
(electromagnetic contributions are suppressed)

$\eta \rightarrow 3\pi$ and χ PT

Lowest order chiral amplitude:

Sutherland (66), Osborn, Wallace (70)

$$\mathcal{M}(\eta \rightarrow \pi^+ \pi^- \pi^0) =: A(s, t, u) \quad s = (p_{\pi^+} + p_{\pi^-})^2, \dots$$

$$A(s, t, u) = \frac{B_0(m_u - m_d)}{3\sqrt{3}F_\pi^2} \left[1 + \frac{3(s - s_0)}{M_\eta^2 - M_\pi^2} + O(p^2) \right] + O(e^2 m)$$

Relate $m_u - m_d$ to meson masses

Dashen (69)

$$B_0(m_u - m_d) = (\hat{M}_{K^+}^2 - \hat{M}_{K^0}^2) + \mathcal{O}(m^2)$$

LO chiral prediction

$$\Gamma(\eta \rightarrow \pi^+ \pi^- \pi^0) \sim 70 \text{ eV}$$

$$\ll \Gamma_{\text{exp}} = 299 \pm 11 \text{ eV}$$

$\eta \rightarrow 3\pi$ and χ PT

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Large rescattering effects or violations to Dashen's theorem?

Amplitudes $\eta \rightarrow 3\pi$ beyond χ PT

Decay amplitudes

$$A(\eta \rightarrow \pi^+ \pi^- \pi^0) \equiv A_c(s, t, u)$$

$$A(\eta \rightarrow 3\pi^0) \equiv A_n(s, t, u)$$

Both vanish in the isospin limit and do not receive $\mathcal{O}(\alpha_{\text{em}})$ contributions

Sutherland (66)

$$A_c(s, t, u) = -N(\hat{M}_{K^0}^2 - \hat{M}_{K^+}^2) [M(s, t, u) + \mathcal{O}(\delta)]$$

$$A_n(s, t, u) = -N(\hat{M}_{K^0}^2 - \hat{M}_{K^+}^2) [M(s, t, u) + M(t, u, s) + M(u, s, t) + \mathcal{O}(\delta)]$$

$$N := (3\sqrt{3}F_\pi^2)^{-1} \quad \delta \sim (m_u - m_d, \alpha_{\text{em}})$$

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$$N := (3\sqrt{3}F_\pi^2)^{-1} \quad \delta \sim (m_u - m_d, \alpha_{\text{em}})$$

- ▶ Leading contribution $M(s, t, u)$ isospin-symmetric: one amplitude describes both decay channels
- ▶ $\mathcal{O}(\delta)$ -piece different for the two channels

Amplitudes $\eta \rightarrow 3\pi$ beyond χ PT

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$$N := (3\sqrt{3}F_\pi^2)^{-1} \quad \delta \sim (m_u - m_d, \alpha_{\text{em}})$$

- ▶ Leading contribution $M(s, t, u)$ isospin-symmetric:
amenable to a dispersive treatment
- ▶ $\mathcal{O}(\delta)$ -piece modifies the phase space and the vars. s, t, u

Q from the decay $\eta \rightarrow 3\pi$

Steps leading to a determination of Q from $\eta \rightarrow 3\pi$

1. estimate the corrections $\mathcal{O}(\delta)$ (χ PT)
2. evaluate the isospin-symmetric amplitude $M(s, t, u)$ (χ PT or DR)
3. compare the evaluated total width (including $\mathcal{O}(\delta)$ corr.) with the measured one and determine: $-(\hat{M}_{K^0}^2 - \hat{M}_{K^+}^2)$
4. invoke the low-energy theorem

$$(\hat{M}_{K^0}^2 - \hat{M}_{K^+}^2) = \frac{M_K^2(M_K^2 - M_\pi^2)}{Q^2 M_\pi^2}$$

to obtain a value for Q

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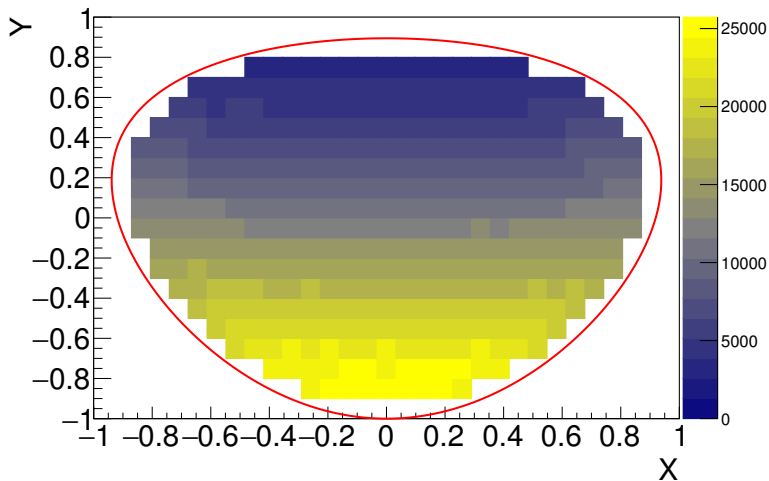
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to obtain a value for Q

Data on the Dalitz plot $M(s, t, u)/M(s_0, s_0, s_0)$ put constraints on the dynamical calculation for $M(s, t, u)$

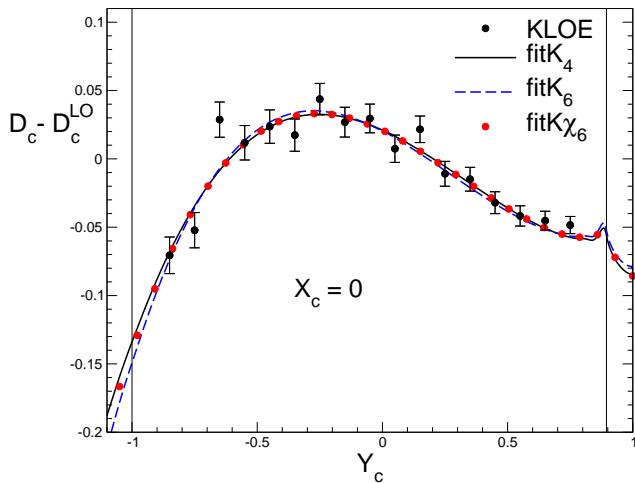
but the essential, purely theory input is: $M(s_0, s_0, s_0)$

KLOE data

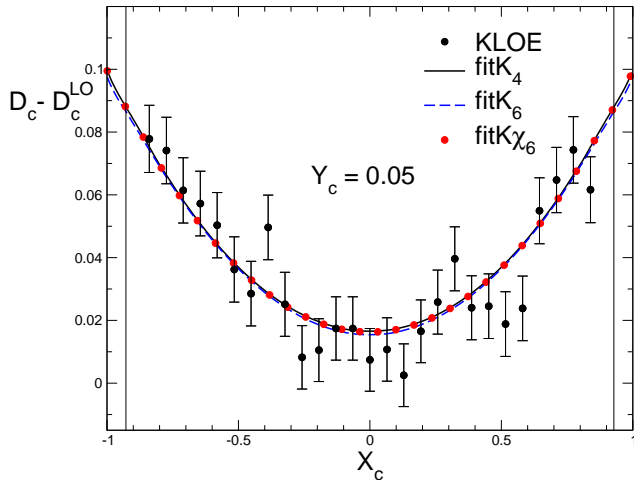


371 bins = data points [KLOE collab. JHEP 2016](#)

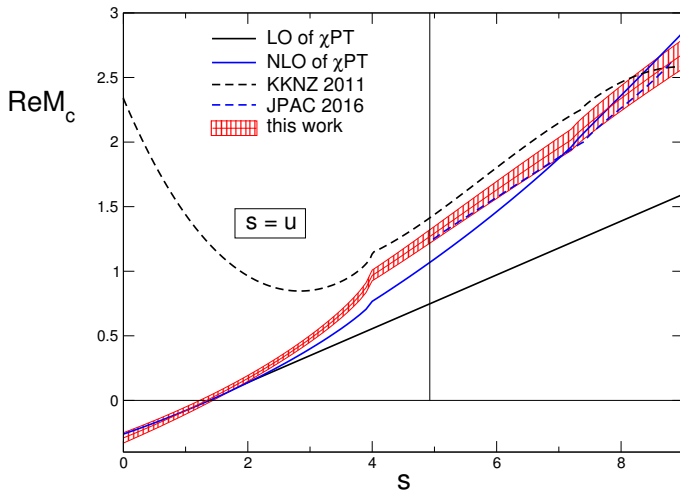
Momentum dependence



Momentum dependence

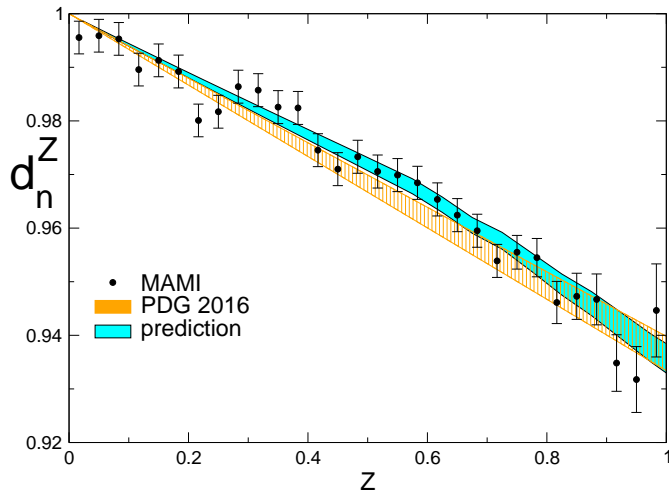


Momentum dependence



Dalitz plot in the neutral channel

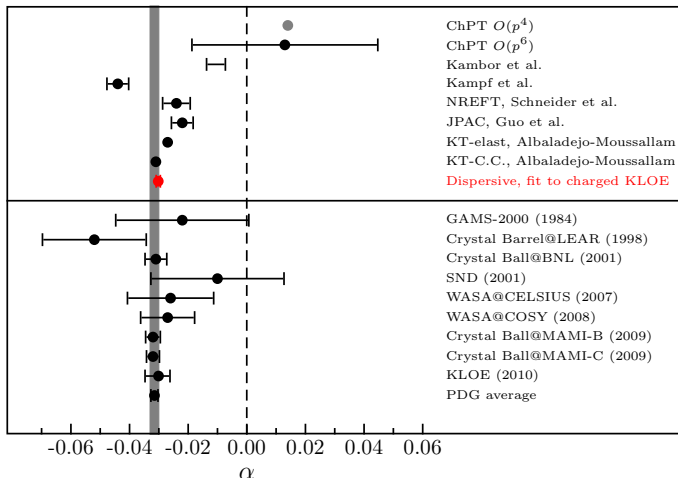
Fit to the charged channel \Rightarrow prediction for the neutral channel
which **agrees perfectly** with MAMI data GC, Lanz, Leutwyler, Passemar in prep.



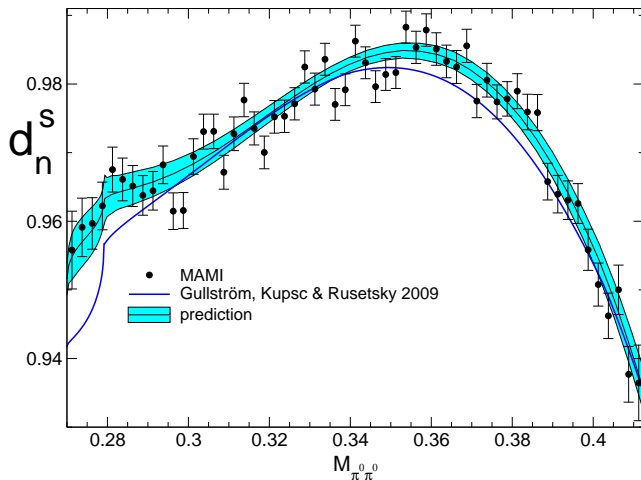
Dalitz plot in the neutral channel: value of α

$\alpha \equiv \text{slope at } z = 0$

Comparison with other determinations:



Dalitz plot in the neutral channel



Ratio of decay rates

The ratio of decay rates for the two channels can also be calculated and with remarkable accuracy

Gasser-Leutwyler (85)

The normalization H_0 also drops out in this ratio

As it turns out most uncertainties cancel out, giving:

$$B \equiv \frac{\Gamma(\eta \rightarrow 3\pi^0)}{\Gamma(\eta \rightarrow \pi^+\pi^-\pi^0)} = 1.44(4)$$

which agrees perfectly with the measured value

$$B_{\text{PDG}}(\text{our fit}) = 1.426(26), \quad B_{\text{PDG}}(\text{our average}) = 1.48(5)$$

Determination of Q

GC, Lanz, Leutwyler, Passemar (16)

$H_0^{\text{NLO}} = 1.176(53)$ and $\Gamma(\eta \rightarrow \pi^+ \pi^- \pi^0) = (299 \pm 11) \text{ eV}$ yield:

$$(\hat{M}_{K^0}^2 - \hat{M}_{K^+}^2) = 6.27(38)10^{-3} \text{ GeV}^2$$

which implies:

$$(M_{K^0}^2 - M_{K^+}^2)_{\text{QED}} = -2.38(38)10^{-3} \text{ GeV}^2$$

This corresponds to

$$\epsilon = 0.9(3)$$

in agreement with recent lattice determinations:

$$\epsilon = \begin{cases} 0.74(18) & \text{BMW} \\ 0.73(14) & \text{MILC} \\ 0.50(6) & \text{QCDSF/UKQCD} \\ 0.801(110) & \text{RM123} \end{cases}$$

Determination of Q

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$$(\hat{M}_{K^0}^2 - \hat{M}_{K^+}^2) = 6.27(38) 10^{-3} \text{ GeV}^2$$

which implies: (upon use of)

$$(\hat{M}_{K^0}^2 - \hat{M}_{K^+}^2) = \frac{M_K^2(M_K^2 - M_\pi^2)}{Q^2 M_\pi^2} \left(1 + \mathcal{O}(m^2)\right)$$

$$Q = \begin{cases} 21.99(70) & \eta \rightarrow \pi^+ \pi^- \pi^0 \\ 22.04(70) & \eta \rightarrow 3\pi^0 \end{cases} \Rightarrow Q = 22.0(7)$$

somewhat lower than recent lattice **direct** determinations

$$Q = \begin{cases} 23.40(64) & \text{BMW} \\ 23.8(1.1) & \text{RM123} \end{cases}$$

Unexpectedly large $\mathcal{O}(m^2)$ effects?

Single vs Double Quark Mass Ratios

Isospin-limit ratio S :

$$S \equiv \frac{m_s}{m_{ud}} = 27.43(31) \quad \text{FLAG (17)}$$

$$\frac{\bar{M}_K^2}{\bar{M}_\pi^2} = (S + 1)(1 + \Delta_S) \quad \Delta_S = -0.055$$

Isospin-breaking ratio R :

$$R \equiv \frac{m_s - m_{ud}}{m_d - m_u} \quad \frac{\bar{M}_K^2 - \bar{M}_\pi^2}{\hat{M}_{K^0}^2 - \hat{M}_{K^+}^2} = R(1 + \Delta R)$$

Relation among R , S and Q : $\Delta_S, \Delta_R \sim \mathcal{O}(m)$, $\Delta_Q \sim \mathcal{O}(m^2)$

$$Q^2 = \frac{1}{2}R(S + 1) \quad \Rightarrow \quad (1 + \Delta_Q) = (1 + \Delta_S)(1 + \Delta_R)$$

Single vs Double Quark Mass Ratios

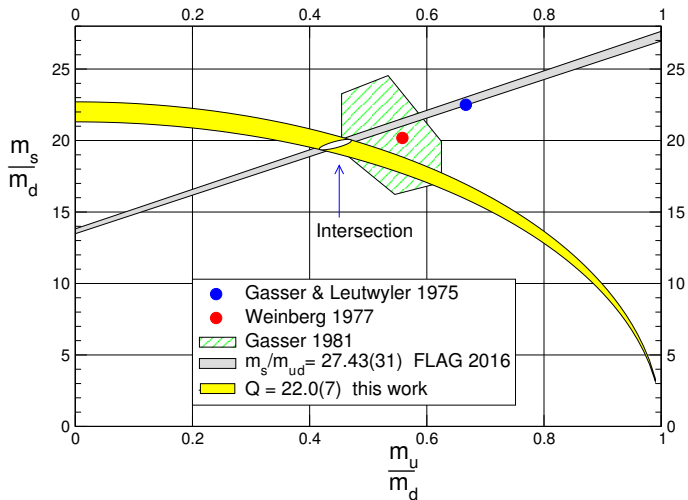
Relation among R , S and Q : $\Delta_S, \Delta_R \sim \mathcal{O}(m)$, $\Delta_Q \sim \mathcal{O}(m^2)$

$$Q^2 = \frac{1}{2}R(S+1) \quad \Rightarrow \quad (1 + \Delta_Q) = (1 + \Delta_S)(1 + \Delta_R)$$

	Q	Δ_S	Δ_R	Δ_Q
BMW	23.4(0.4)(0.3)(0.4)	-0.063	-0.028	-0.089
RM123	23.8(1.1)	-0.042	-0.060	-0.099
this work*	22.0(7)	-0.055(11)	0.061(13)	0

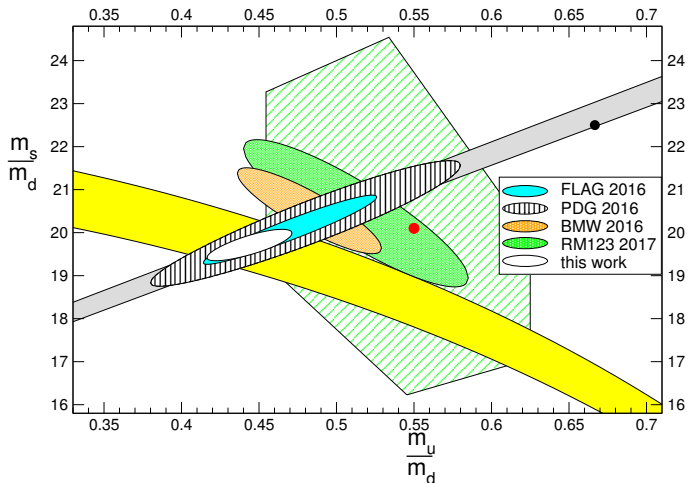
* GC, Lanz, Leutwyler, Passemar, in prep.

Quark mass ratios: results



$$\frac{m_u}{m_d} = 0.44(3)$$

Quark mass ratios: results



$$\frac{m_u}{m_d} = 0.44(3)$$

Outline

Introduction

QCD

Chiral perturbation theory

How to determine $m_u - m_d$

$\eta \rightarrow 3\pi$ and Q

The $\eta \rightarrow 3\pi$ amplitude

Summary

Summary

- ▶ Quark masses are fundamental and yet unexplained parameters of the standard model
- ▶ I have discussed methods to determine the values of the light quark masses
- ▶ I have reviewed determinations of $m_d - m_u$ based on **lattice** and **χ PT + model estimates**
- ▶ I have discussed the extraction of the quark mass ratio Q from $\eta \rightarrow 3\pi$ decays based on dispersion relations

work in collab. with [S. Lanz](#), [H. Leutwyler](#) and [E. Passemar](#) (16) and in progress

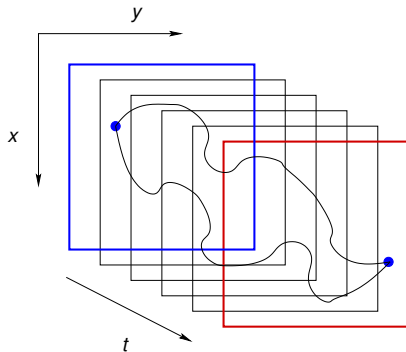
- ▶ lattice calculations of Q somewhat higher and show a **weird pattern** in the chiral series of quark mass ratios:
lattice calculations in pure QCD could resolve this puzzle

Backup Slides

The spectrum in lattice QCD

$$C(t) = \int d^3x \langle [\bar{q}\gamma_5 q(x)] [\bar{q}\gamma_5 q(0)] \rangle e^{i\mathbf{p}\mathbf{x}} \xrightarrow{t \rightarrow \infty} \sum_{n=0}^{\infty} c_n e^{-E_n t}$$

$$M_\pi = \lim_{\substack{L \rightarrow \infty \\ a \rightarrow 0}} M_\pi(L, a) \quad M_\pi(L, a) = E_n(\mathbf{p} = 0)$$



$$\bullet \quad \bar{q}\gamma_5 q, \quad \bar{q}\Gamma q$$

\sim fermion propagator

Dispersive approach

GC, Lanz, Leutwyler, Passemar, (16)

Isospin decomp. of $M(s, t, u)$

Stern, Sazdjian, Fuchs (93), Anisovich, Leutwyler (96)

$$M(s, t, u) = M_0(s) + (s-u)M_1(t) + (s-t)M_1(u) + M_2(t) + M_2(u) - \frac{2}{3}M_2(s)$$

assumes only: $\text{disc}[t_\ell^I(s)] = 0 \quad \forall \ell \geq 2 \text{ in all channels}$

Analytic properties of the $M_I(s)$ functions: $[s > 4M_\pi^2]$

$$\text{disc}[M_I(s)] = \text{disc}[t_\ell^I(s)] = t_\ell^I(s) e^{i\delta_\ell^I(s)} \sin \delta_\ell^I(s)$$

$t_\ell^I(s) = M_I(s) + \hat{M}_I(s) = \text{part. wave, } I = \text{isospin, } \ell = \text{ang. momentum}$

Dispersion relation for M_0

Anisovich, Leutwyler (96), GC, Lanz, Leutwyler, Passemar, (16)

$$M_0(s) = \Omega_0(s) \left\{ \alpha_0 + \beta_0 s + \gamma_0 s^2 + \delta_0 s^3 + \frac{s^2}{\pi} \int_{4M_\pi^2}^{\infty} ds' \frac{\hat{M}_0(s') \sin \delta_0^0(s')}{|\Omega_0(s')| s'^2 (s' - s - i\epsilon)} \right\}$$

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Dispersion relation for M_1 Anisovich, Leutwyler (96), GC, Lanz, Leutwyler, Passemar, (16)

$$M_1(s) = \Omega_1(s) \left\{ \beta_1 s + \gamma_1 s^2 + \frac{s}{\pi} \int_{4M_\pi^2}^{\infty} ds' \frac{\sin \delta_1^1(s') \hat{M}_1(s')}{|\Omega_1(s')| s'(s' - s - i\epsilon)} \right\}$$

Dispersive approach

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Dispersion relation for M_2 Anisovich, Leutwyler (96), GC, Lanz, Leutwyler, Passemar, (16)

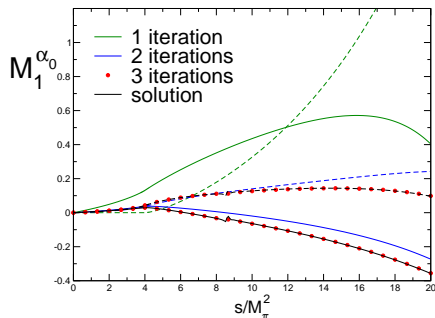
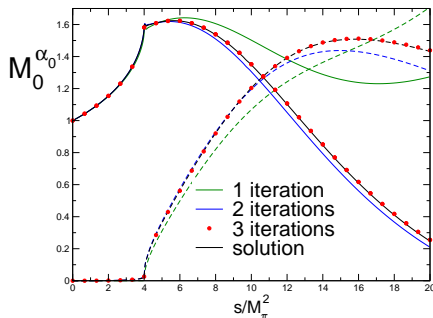
$$M_2(s) = \Omega_2(s) \frac{s^2}{\pi} \int_{4M_\pi^2}^{\infty} ds' \frac{\sin \delta_0^2(s') \hat{M}_2(s')}{|\Omega_2(s')| s'^2 (s' - s - i\epsilon)}$$

Solution of the dispersion relations

GC, Lanz, Leutwyler, Passemar, (16)

Solutions of the dispersion relations are **linear** in the subtraction constants $\alpha_0, \beta_0, \dots, \gamma_1$:

$$M_I(s) = \alpha_0 M_I^{\alpha_0}(s) + \beta_0 M_I^{\beta_0}(s) + \dots + \gamma_1 M_I^{\gamma_1}(s)$$

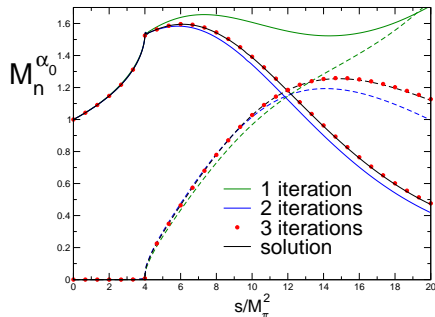
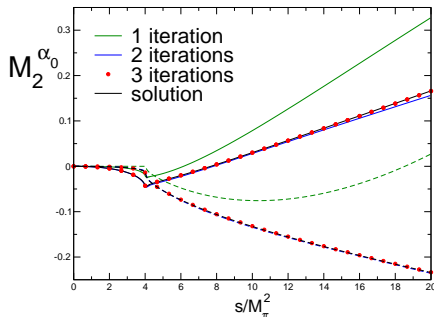


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Isospin breaking

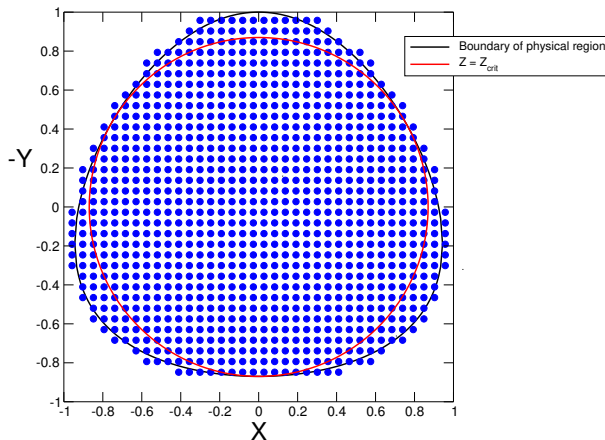
Dispersive calculation performed in the isospin limit:

$$M_{\pi} = M_{\pi^+} \quad e = 0$$

- ▶ we correct for $M_{\pi^0} \neq M_{\pi^+}$ by “stretching” $s, t, u \Rightarrow$ boundaries of isospin-symmetric phase space = boundaries of physical phase space
- ▶ analysis of Ditsche, Kubis, Meissner (09) used as guidance and check. Same for Gullström, Kupsc and Rusetsky (09)
- ▶ $e \neq 0$ effects partly corrected for in the data analysis
for the rest we rely on one-loop ChPT – formulae given by Ditsche, Kubis, Meissner (09)

Isospin breaking I: boundary preserving map

Phase space boundary in the limit $M_{\pi^0} = M_{\pi^+}$: $z = z_{crit}$



Isospin breaking I: boundary preserving map

Mandelstam variables in the isospin limit ($M_{\pi^i} = M_\pi \equiv \text{isoB}$)
used in our dispersive treatment: s, t, u

$$s + t + u = M_\eta^2 + 3M_\pi^2$$

Mandelstam variables in the physical channels:

$$s_c + t_c + u_c = M_\eta + 2M_\pi^2 + M_{\pi^0}^2 \quad s_n + t_n + u_n = M_\eta + 3M_{\pi^0}^2$$

Define a mapping $(X_i, Y_i) \rightarrow (X_i^{\text{bpm}}, Y_i^{\text{bpm}})$, for $i = c, n$ such that
boundary/center of phys. reg. \rightarrow boundary/center of isoB phase sp.

$$M^{\text{bpm}}(X_c, Y_c) \equiv M^{\text{disp}}(X_c^{\text{bpm}}(X_c, Y_c), Y_c^{\text{bpm}}(Y_c))$$

is used to fit the data in the charged channel

Isospin breaking II: em corrections

We rely on the one-loop ChPT calculation of Ditsche, Kubis, Meissner (09) in the following way:

- ▶ we remove the corrections due to real photons and to the Coulomb pole
- ▶ we calculate the ratios $N_{n,c}$ and $\rho_{n,c}(X_{n,c}, Y_{n,c})$

$$N_n \equiv \frac{|M_n^{\text{DKM}}(0,0)|^2}{|M_n^{\text{DKM,bpm}}(0,0)|^2}, \quad N_c \equiv \frac{|M_c^{\text{DKM}}(0,0)|^2}{|M_c^{\text{DKM,bpm}}(0,0)|^2}$$

$$\rho_n(X_n, Y_n) \equiv \frac{1}{N_n} \frac{|M_n^{\text{DKM}}(X_n, Y_n)|^2}{|M_n^{\text{DKM,bpm}}(X_n, Y_n)|^2}$$

$$\rho_c(X_c, Y_c) \equiv \frac{1}{N_c} \frac{|M_c^{\text{mDKM}}(X_c, Y_c)|^2}{|M_c^{\text{mDKM,bpm}}(X_c, Y_c)|^2}$$

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- ▶ we remove the corrections due to real photons and to the Coulomb pole
- ▶ we calculate the ratios $N_{n,c}$ and $p_{n,c}(X_{n,c}, Y_{n,c})$
- ▶ we fit the data with

$$|M_n(X_n, Y_n)|^2 = |M^{\text{bpm}}(X_n, Y_n)|^2 N_n p_n(X_n, Y_n)$$

$$|M_c(X_c, Y_c)|^2 = |M^{\text{bpm}}(X_c, Y_c)|^2 N_c p_c(X_c, Y_c)$$

How we fit the data

GC, Lanz, Leutwyler, Passemar (16)

- ▶ our dispersive amplitude, (corrected for isospin breaking) and **linear in the subtraction constants**
- ▶ four up to six subtraction constants: $\alpha_0, \beta_0, \gamma_0, \delta_0, \beta_1, \gamma_1$
- ▶ the normalization of the Dalitz plot is arbitrary, so $\alpha_0 (\rightarrow H_0)$ is not fitted
- ▶ available data are from:
 - ▶ KLOE (2016)
 - ▶ WASA@COSY (2014)
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How we fit the data

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Fit results

GC, Lanz, Leutwyler, Passemar in prep.

	β_0	γ_0	δ_0	β_1	γ_1	χ_{KLOE}^2	χ_{th}^2
fit χ_4	16.9	-29.4	–	6.6	–	940	0
fitK $_4$	17.3(7)	-34.8(7.1)	–	6.4(8)	–	385	0.61
fitK χ_4	17.2(6)	-34.7(7.1)	–	6.4(7)	–	385	0.60
fitK $_5$	13.6(2.0)	13.5(24.5)	-120(59.5)	12.6(3.3)	–	376	29
fitK χ_5	16.3(8)	-21.2(9.5)	-34.7(18.5)	8.1(1.1)	–	380	0.99
fitK $_6$	-17.9(10.3)	-38.8(76.8)	-61.9(77.6)	72.6(19.2)	-290(89)	369	898
fitK χ_6	16.2(1.2)	-21.3(10.3)	-34.5(18.6)	8.2(2.2)	-1(10.8)	380.0	1.02

fitK(χ) $_n$ =fit to KLOE with n subtr. const.; χ = with chiral constraints